

INFORMATION TECHNOLOGY AUDITS

Six Open Audit Issues - Status as of August 31, 2016

Issue Name / No.	Rating	Target Completion Date	Months Open	Functional Area	Status of Management Action Plans
Data Warehouse (Audit Report - May 2014)					
Source Data Extract, Transformation and Loading (#380)	Med	Sept 2016	27	Application Development	Implementation of balance controls for Corporate Analytics, Policy in Force, Claims, Policy/Underwriting, and Accounting is on schedule for completion by September 2016.
General Computer Controls (Audit Report - Apr 2015)					
Administrative Access and Security Controls (#418)	Med	Dec 2016	16	Infrastructure	Phase 1 of the Active Directory project, Domain Administrator cleanup tasks, is complete. The project is on schedule for completion by December 2016.
Citizens Insurance Suite - Job Scheduler Access (#423)	Med	Apr 2016	16	Operations	The project is complete and support documentation has been submitted with a request to close this issue.
Rate Accuracy (Audit Report - Aug 2015)					
Administrative Access – Production Servers (#445)	Med	Aug 2016	11	Operations and Security	After evaluating and testing several potential solutions, IT and OIA agreed that the most cost effective approach at this time for addressing the issues identified in the audit is to implement an automated monitoring and alerting process. This process will begin by August 2016.
Auditor General (Audit Report - Aug 2015)					
Property Controls (#453)	Med	Sept 2016	11	Asset Management	The IT asset inventory alignment and asset handling process updates are on schedule for completion by September 2016.
Commissions (Audit Report - Jun 2016)					
Admin Access to BC and PC Databases / Remove Security Group (#482)	Med	Sept 2016	2	Operations	Operations is working with the Security team to define and build a monitoring process to address issues identified in the audit.